

PATENT COOPERATION TREATY

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From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:
DAVID W. EVANS
GENENTECH, INC.
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SOUTH SAN FRANCISCO, CA 94080-4990

PCT

WRITTEN OPINION

(PCT Rule 66)

Applicant's or agent's file reference		Date of Mailing (day/month/year)
P1862R1		23 JUN 2003
International application No.		REPLY DUE
PCT/US01/44203		within 1 months/days from the above date of mailing
International filing date (day/month/year)	Priority date (day/month/year)	
26 November 2001 (26.11.2001)	28 November 2000 (28.11.2000)	
International Patent Classification (IPC) or both national classification and IPC		
IPC(7): C07C 229/04; 229/20; C07D 207/00, 211/56, 211/92, 241/04, 265/30, 295/00, 323/02, 413/00, 405/12 and US Cl.: 514/414, 415, 43/, 449; 544/3, 106, 358; 546/184, 224, 225, 233, 234; 548/215; 549/429; 560/21, 34, 38, 41; 562/430, 437, 439, 450		
Applicant		
GENENTECH, INC.		

RECEIVED

1. This written opinion is the first (first, etc.) drawn by this International Preliminary Examining Authority.

2. This opinion contains indications relating to the following items:

JUL 01 2003

- I ☒ Basis of the opinion
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Rule 66.2 (a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

GENENTECH, INC.
LEGAL DEPT.

3. The applicant is hereby invited to reply to this opinion.

When? See the time limit indicated above. ~~The applicant may, before the expiration of that time limit, request this Authority to grant an extension. See rule 66.2(d).~~

How? By submitting a written reply, accompanied, where appropriate, by amendments, according to Rule 66.3. For the form and the language of the amendments, see Rules 66.8 and 66.9.

Also For an additional opportunity to submit amendments, see Rule 66.4.
For the examiner's obligation to consider amendments and/or arguments, see Rule 66.4 bis.
For an informal communication with the examiner, see Rule 66.6

If no reply is filed, the international preliminary examination report will be established on the basis of this opinion.

4. The final date by which the international preliminary examination report must be established according to Rule 69.2 is: 28 March 2003 (28.03.2003).

Name and mailing address of the IPEA/US
Commissioner of Patents and Trademarks
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Felicia D. Roberts for
Taylor V. Oh
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WRITTEN OPINION

International application No.

PCT/US01/44203

I. Basis of the opinion

1. With regard to the elements of the international application:*

- ☒ the international application as originally filed
- ☒ the description:
 pages 1-113, as originally filed
 pages NONE, filed with the demand
 pages NONE, filed with the letter of _____
- ☒ the claims:
 pages 114-118, as originally filed
 pages NONE, as amended (together with any statement) under Article 19
 pages NONE, filed with the demand
 pages NONE, filed with the letter of _____
- ☐ the drawings:
 pages NONE, as originally filed
 pages NONE, filed with the demand
 pages NONE, filed with the letter of _____
- ☐ the sequence listing part of the description:
 pages NONE, as originally filed
 pages NONE, filed with the demand
 pages NONE, filed with the letter of _____

2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language _____ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the written opinion was drawn on the basis of the sequence listing:

- ☐ contained in the international application in printed form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages NONE
- ☐ the claims, Nos. NONE
- ☐ the drawings, sheets/fig NONE

5. ☐ This opinion has been drawn as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this opinion as "originally filed."

WRITTEN OPINION

International application No.
PCT/US01/44203

V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. STATEMENT

Novelty (N)	Claims <u>8-12, 14-19, 21-22</u>	YES
	Claims <u>1-7, 13, 20, 23-24</u>	NO
Inventive Step (IS)	Claims <u>8-12, 14-19, 21-22</u>	YES
	Claims <u>1-7, 13, 20, 23-24</u>	NO
Industrial Applicability (IA)	Claims <u>1-24</u>	YES
	Claims <u>NONE</u>	NO

2. CITATIONS AND EXPLANATIONS

Claims 1-7, 13, 20, 23, and 24 lack novelty under PCT Article 33(2) as being anticipated by Haruta et al (WO 97/08133).

Haruta et al discloses N-(3,5-dichloro-2-hydroxy-4-(2-(4-methylpiperazin-1-yl)ethoxy)-benzoyl)-L-phenylalanine (see page 147, table 70). And also, it describes (2S) 3-phenyl-2-(4-(5-(4 methyl amino butyl)-1,2,4-oxadiazol-3-yl)-2-hydroxybenzoylamino)propionic acid ethyl ester hydrochloride (see page 154, table 77). They are identical to the claimed compounds.

Claims 8-12, 14-19, 21-22 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest the claimed inventions.

NEW CITATIONS

WO 97/08133 A1 (HARUTA et al) 06 March 1997, see page 147, table 70; page 154, table 77.

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Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

TIME LIMIT:

The time limit set for response to a Written Opinion may not be extended. 37 CFR 1.484(d). Any response received after the expiration of the time limit set in the Written Opinion will not be considered in preparing the International Preliminary Examination Report.